



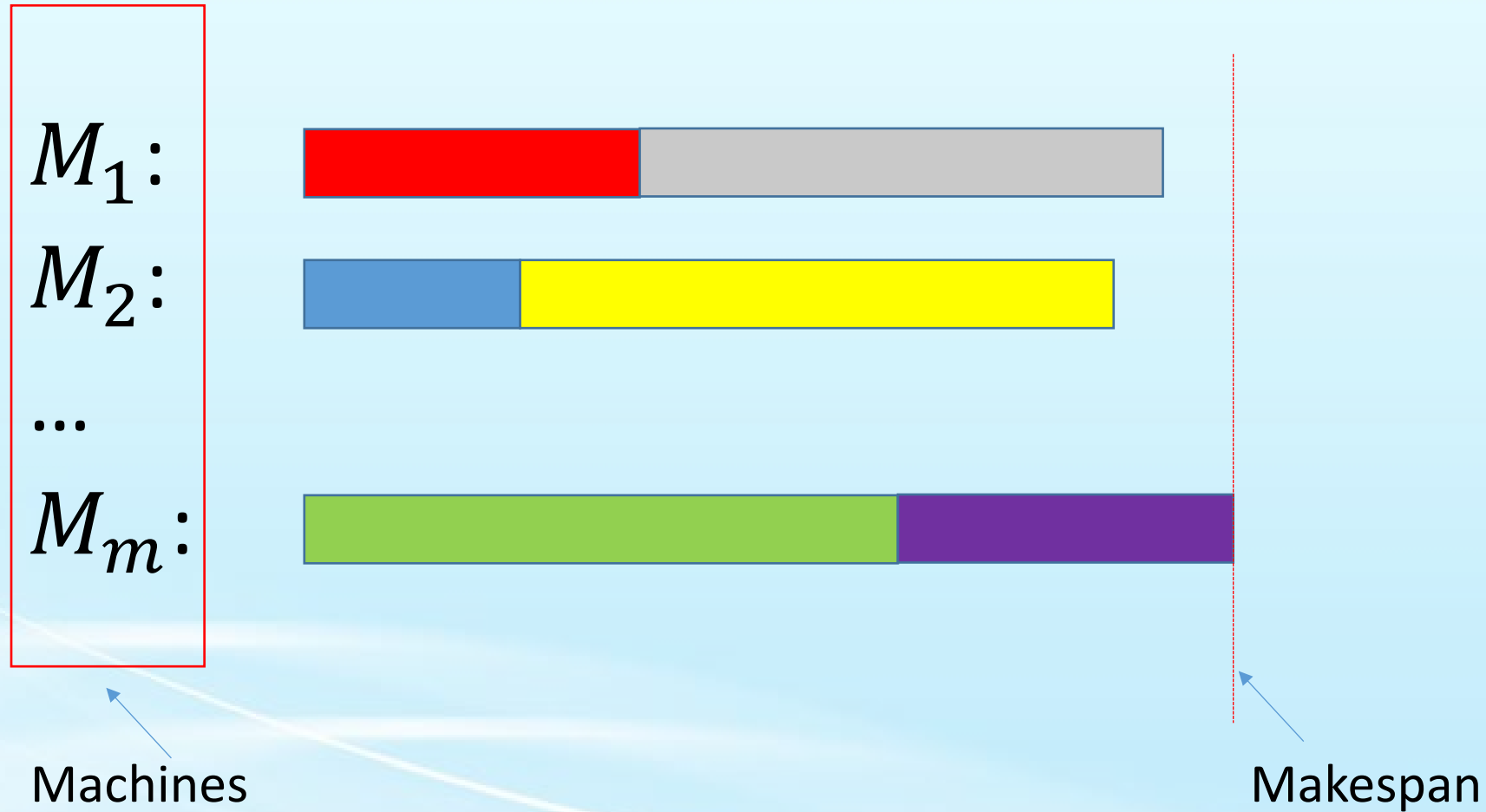
# Lower Bounds for the Classical Scheduling Problem

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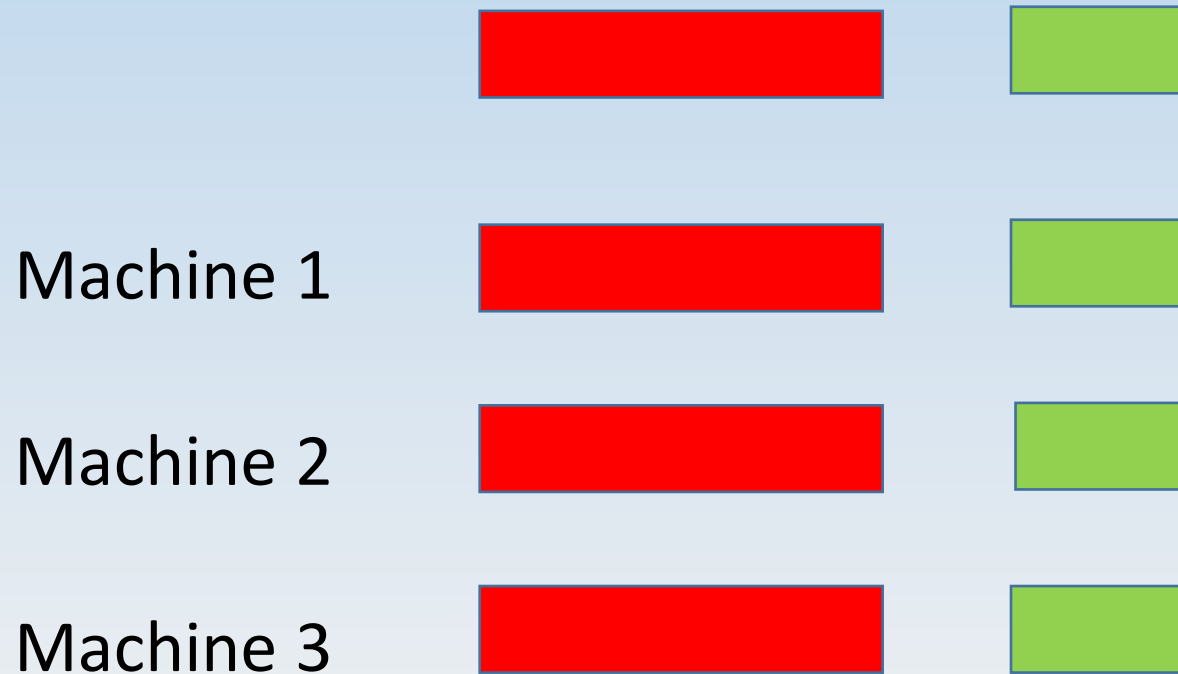
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# The classical scheduling problem



# Identical machines $P//C_{max}$



# Related machines $Q//C_{max}$



# Unrelated machines $R//C_{max}$



Known results

# Approximation results

- *LPT (Longest Processing Time)*:  $\frac{4}{3} - \frac{1}{3m}$  for P//Cmax
- *PTAS* for Q//Cmax
- *FPTAS* for Rm//Cmax
- $\left(2 - \frac{1}{m}\right)$ -approximation for R//Cmax
- $\left(\frac{3}{2} - \epsilon\right)$ - approximation for R//Cmax implies **P=NP**

# Two topics

- Improving running time for approximation schemes
- Improving performance ratios for R//Cmax



Lower bounds on running time

# Approximation schemes for $P||C_{max}$

- $(n/\epsilon)^{O(1/\epsilon^2)}$  (Hochbaum and Shmoys, JACM 1987)
- $f(1/\epsilon) + O(n)$  doubly exponential in  $1/\epsilon$  (Alon et al., SODA 1998)
- $2^{O(1/\epsilon^2 \log^3 1/\epsilon)} + n^{O(1)}$  (Jansen, SIAM J. Discrete Mathematics 2010)

# Approximation schemes for $P_m || C_{max}$

- $O(nm(nm/\epsilon)^{m-1})$  (Horowitz and Sahni, JACM 1976)
- $(n + 1)^{m/\epsilon} poly(|I|)$  (Lenstra, Shmoys, Tardos, Math. Prog 1990)
- $n(m/\epsilon)^{O(m)}$  (Jansen and Porkolab, MOR 2001)
- $O(n) + (1/\epsilon)^{O(m)}$  when  $1/\epsilon > m$  (Jansen and Mastrolilli, 2010)

# Approximation schemes for $Rm||C_{max}$

- $O(nm(nm/\epsilon)^{m-1})$  (Horowitz and Sahni, JACM 1976)
- $(n + 1)^{m/\epsilon} poly(|I|)$  (Lenstra, Shmoys, Tardos, Math. Prog 1990)
- $n(m/\epsilon)^{O(m)}$  (Jansen and Porkolab, MOR 2001)
- $O(n) + (1/\epsilon)^{O(m)}$  when  $1/\epsilon > m$  (Jansen and Mastrolilli, 2010)

# Our contribution

Algorithms	Upper bounds	Lower bounds
Approximation schemes	$2^{O(1/\epsilon^2 \log^3 1/\epsilon)} + n^{O(1)}$	$2^{O((1/\epsilon)^{1-\delta})} + n^{O(1)}$
Approximation schemes	$(1/\epsilon)^{O(m)} + O(n)$	$(1/\epsilon)^{O(m^{1-\delta})} + n^{O(1)}$
Exact algorithm	$2^{O(\sqrt{m I  \log m})}$	$2^{O(m^{1/2-\delta}\sqrt{ I })}$
Exact algorithm	$2^{O(n)}$	$2^{O(n^{1-\delta})}$

Based on Exponential Time Hypothesis (ETH), assuming that 3SAT could not be solved in  $2^{sn}$  time for some  $s>0$  (Impagliazzo, Paturi, Zane, 2001)

# A small gap for $P||C_{max}$

- $2^{O(1/\epsilon^2 \log^3 1/\epsilon)} + n^{O(1)}$  vs.  $2^{O((1/\epsilon)^{1-\delta})} + n^{O(1)}$

There exists a  $2^{O(1/\epsilon \log^2 1/\epsilon)} + n^{O(1)}$  - time algorithm,

- (1) if every machine can accommodate at most a constant number of jobs;
- (2) if a certain conjecture holds (Jansen and Robenek, WAOA 2011)

# Reduction for $P||C_{max}$

•  $2^{sn}$  for 3SAT  $\rightarrow 2^{O((1/\epsilon)^{1-\delta})}$  for Scheduling

*Goal*

• 3SAT instance of  $O(n)$  variables and clauses

$\rightarrow$  A scheduling instance of makespan  $L = O(n^k)$   $\rightarrow L = O(n^{1+\delta})$

**A lower bound of  $2^{O((1/\epsilon)^{1/k-\delta})}$  by taking  $1/\epsilon = L + 1 = O(n^k)$   
(A  $(1 + \epsilon)$ -alg. is able to distinguish the makespan of  $L$  and  $L+1$ )**

$\rightarrow k = 16$  in the traditional reduction

# Reduction for $Pm||C_{max}$

- $2^{sn}$  for 3SAT  $\rightarrow (1/\epsilon)^{O(m^{1-\delta})}$  for Scheduling

**Goal**

- 3SAT instance of  $O(n)$  variables and clauses

$\rightarrow$  A scheduling instance of makespan  $L = 2^{O(\frac{n}{m} \log^2 m)}$



**Taking  $1/\epsilon = L + 1$ , A  $(1 + \epsilon)$ -algorithm is able to distinguish the makespan of  $L$  and  $L+1$ , running in  $2^{\delta_m n}$  time with  $\delta_m \rightarrow 0$  when  $m \rightarrow \infty$**



# Open problems

- Close the gap between  $2^{O(1/\epsilon^2 \log^3 1/\epsilon)} + n^{O(1)}$  and  $2^{O((1/\epsilon)^{1-\delta})} + n^{O(1)}$  for  $P||C_{max}$
- Influence of additional constraints:  $P|r_j|C_{max}$
- Lower bounds on running times of approximation schemes for other classical objectives:  $P||\sum w_j C_j$ ,  $Q||\sum w_j C_j$ ,  $P||\sum C_i^p$

# Lower bound for lower rank scheduling

# Matrix of job processing times

$$P = \begin{bmatrix} p_{11} & p_{12} & \cdots & p_{1,m} \\ p_{21} & p_{22} & \cdots & p_{2,m} \\ \vdots & \vdots & \ddots & \vdots \\ p_{n,1} & p_{n,2} & \cdots & p_{n,m} \end{bmatrix}$$

More precisely, the rank **d** comes from:

$$\begin{bmatrix} p_{11} & p_{12} & \cdots & p_{1,m} \\ p_{21} & p_{22} & \cdots & p_{2,m} \\ \vdots & \vdots & \ddots & \vdots \\ p_{n,1} & p_{n,2} & \cdots & p_{n,m} \end{bmatrix} = \underbrace{\begin{bmatrix} * & * \\ * & * \\ \vdots & \vdots \\ * & * \end{bmatrix}}_{n * d} \underbrace{\begin{bmatrix} * & * & \cdots & * \\ * & * & \cdots & * \end{bmatrix}}_{d * m}$$

# Rank of scheduling

- Identical machines: Rank=1
- Related machines: Rank=1
- Unrelated machines: Rank could be arbitrary

The hardness of the problem and the rank of matrix?

# Bhaskara, Krishnaswamy, Talwar, Wieder, SODA 2013

Rank of Matrix	Hardness
1	PTAS (Hauchbaum and Shmoys)
2	QPTAS
3	open
4	APX-hard
$\geq 7$	$(3/2-\epsilon)$ -inapproximate

# New lower bounds

Rank of Matrix	Hardness
3	APX-hard
$\geq 4$	$(3/2-\epsilon)$ -inapproximate

# Rank 4 scheduling



# Reduction from 3DM

- **Element sets:**  $W \cup X \cup Y, |W| = |X| = |Y|$
- **Match set:**  $T \subset W \times X \times Y$
- **Perfect matching:**  $T' \subset T, \text{every element appears once}$

NP-hard to decide whether there exists a perfect matching

# Rank 7 scheduling

*Bhaskara, et al. 2013*

- One machine for every match:

$$(w_i, x_j, y_k) \rightarrow (N^i, N^{-i}, N^j, N^{-j}, N^k, N^{-k}, 1)$$

- Real jobs:

$$x_j \rightarrow (0, 0, \epsilon N^{-j}, \epsilon N^j, 0, 0, 1)$$

$$y_k \rightarrow (0, 0, 0, 0, \epsilon N^{-k}, \epsilon N^k, 1)$$

- Dummy jobs:  $d(w_i)$  – 1 copies

$$w_i \rightarrow (\epsilon N^{-i}, \epsilon N^i, 0, 0, 0, 0, 2)$$

# Rank 7 scheduling

*Bhaskara, et al. 2013*

- The processing time of each real job is at least 1
- The processing time of a dummy job is at least 2  
*-- Ensured by the 7-th coordinate*
- A w-job has to be scheduled on a machine (match) containing element w  
*-- Ensured by the first 2 coordinates*

# Rank 7 scheduling

*Bhaskara, et al. 2013*

***A schedule of length  $2 + O(\epsilon)$***

$(w_1, *, *)$	$w_1 - \text{dummy}$
$(w_1, *, *)$	$w_1 - \text{dummy}$
...	
$(w_1, x_j, y_k)$	$x_j - \text{job}, y_k - \text{job}$
$(w_2, *, *)$	$w_2 - \text{dummy}$
...	

# Rank 4 scheduling

$$LRS(7) \leftarrow ( \boxed{*, \quad *}, \boxed{*, \quad *}, \boxed{*, \quad *}, *)$$

$w - \text{coordinates}$        $x - \text{coordinates}$        $y - \text{coordinates}$

***Let  $w, x, y$  share coordinates***

***However, in the 3DM problem, for a match  $(w_i, x_j, y_k)$ ,  
the indices  $(i, j, k)$  could be arbitrary***

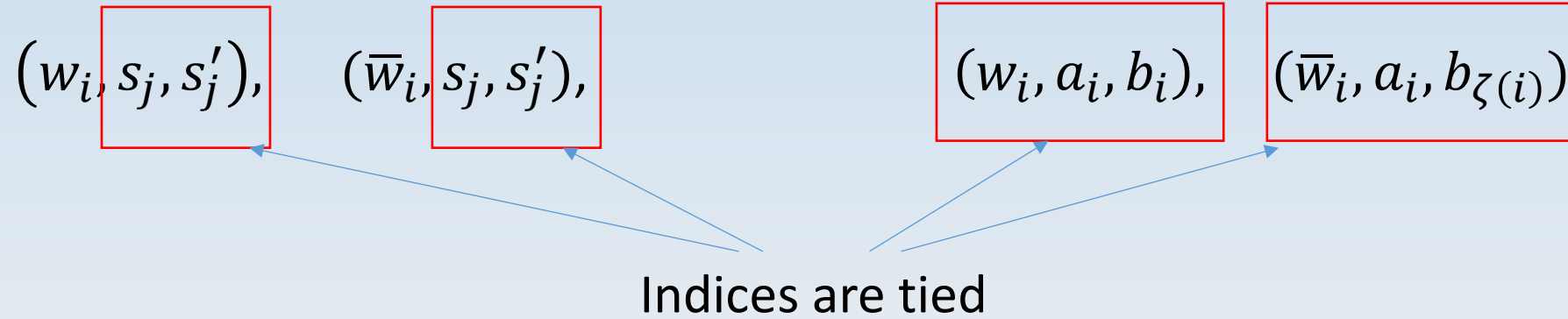
# A variant of 3DM

## ***Reduction from a special 3DM (still NP-complete)***

- Element sets:  $W = \{w_i, \bar{w}_i \mid i \in [3n]\}$ ,  $X = \{s_i, a_i \mid i \in [3n]\}$ ,  $Y = \{s'_i, b_i \mid i \in [3n]\}$
- Match set:  $T_1 \subset \{(w_i, s_j, s'_j), (\bar{w}_i, s_j, s'_j) \mid w_i \in W, s_j \in X, s'_j \in Y\}$   
 $T_2 = \{(w_i, a_i, b_i), (\bar{w}_i, a_i, b_{\zeta(i)}) \mid i \in [3n]\}$

$$\zeta: \zeta(3k + 1) = 3k + 2, \zeta(3k + 2) = 3k + 3, \zeta(3k + 3) = 3k + 1$$

# Tied indices



# Overall structure

- Machines**
- $(w_i, s_j, s'_j): (N^i, N^{-i}, N^{j+N}, N^{-j-N})$
  - $(\bar{w}_i, s_j, s'_j): (N^{-i}, N^i, N^{j+N}, N^{-j-N})$
  - $(w_i, a_i, *): (N^i, N^{-i}, N^{-i}, *)$
  - $(\bar{w}_i, a_i, *): (N^{-i}, N^i, N^{-i}, *)$

- Jobs**
- $w_i: (N^{-i}, N^i, 0, 0)$
  - $\bar{w}_i: (N^i, N^{-i}, 0, 0)$
  - $a_i: (N^{-i}, N^{-i}, N^i, 0)/2$
  - $s_j(s'_j): (0, 0, N^{-j-N}, N^{j+N})/2$



# Overall structure

- Machines**
- $(w_{3i}, a_{3i}, b_{3i})$ :  $\left( N^{3i}, N^{-3i}, N^{-3i}, N^{-3i-1} \right)$
  - $(w_{3i+1}, a_{3i+1}, b_{3i+1})$ :  $\left( N^{3i+1}, N^{-3i-1}, N^{-3i-1}, N^{-3i} \right)$
  - $(w_{3i+2}, a_{3i+2}, b_{3i+2})$ :  $\left( N^{3i+2}, N^{-3i-2}, N^{-3i-2}, \frac{1}{\epsilon} N^{-3i-1} \right)$
  - $(\bar{w}_{3i}, a_{3i}, b_{3i+1})$ :  $\left( N^{-3i}, N^{3i}, \epsilon N^{-3i}, N^{-3i} \right)$
  - $(\bar{w}_{3i+1}, a_{3i+1}, b_{3i+2})$ :  $\left( N^{-3i-1}, N^{3i+1}, N^{-3i-1}, \frac{1}{\epsilon} N^{-3i-1} \right)$
  - $(\bar{w}_{3i+2}, a_{3i+2}, b_{3i})$ :  $\left( N^{-3i-2}, N^{3i+2}, \epsilon N^{-3i-2}, N^{-3i-1} \right)$
- Jobs**
- $b_{3i}$ :  $\left( \epsilon N^{-3i}, \epsilon N^{-3i-2}, N^{3i}, N^{3i+1} \right)/2$
  - $b_{3i+1}$ :  $\left( \epsilon N^{-3i-1}, \frac{1}{\epsilon} N^{-3i-1}, N^{3i}, N^{3i} \right)/2$
  - $b_{3i+2}$ :  $\left( \epsilon N^{-3i-2}, \epsilon N^{-3i-1}, N^{3i+1}, \epsilon N^{3i+1} \right)/2$

# Gap

- If the instance of the special 3DM has a perfect matching, then there exists a schedule with a makespan of  $2+O(\epsilon)$
- If the scheduling instance has a feasible schedule of makespan smaller than 3, then the 3DM has a perfect matching
- This gives a lower bound of  $3/2 - \epsilon$

# Open problems

- . Approximation algorithms for scheduling problem of a constant rank.
- . Is rank 2 scheduling harder than rank 1 (in terms of schemes)?
- . Is rank 4 scheduling harder than rank 3 (in terms of approx ratios)?

Thank You!